

Ferguson Financial Planning



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Obtaining an Order Ticket from Goldman Sachs Updated March 15, 2023

In order to request an order ticket, you have one of two options:

- Contact me and ask that I obtain one on your behalf
- Log into your Goldman Sachs account and visit your “Statements and Tax Records” folder. Inside that folder, click on the “Trade Confirmations” tab. In the second sentence, there is a link titled “Order Routing Report”. Click on that link and follow the instructions to receive an order routing report from Goldman Sachs.

An order ticket provides you with more detail than your trade confirmation as it provides both a time stamp and the name of the market center that received your order. Here is a more detailed explanation about order routing reports (taken straight from Goldman Sachs’ website):

“What is an Order Routing Report?”

An order routing report displays the market center your orders were routed to for execution. A market center can be a market maker, which is a company that buys and sells securities from its inventory, or an exchange, such as the New York Stock Exchange. The market center we list is the initial market center we routed an order to. It may not be the actual center that executed the order. For example, we may send an order to a market maker who then sends it to an exchange to be executed.

If any of your window orders were matched with another customer’s orders, they will not be displayed in your order routing report. Those trades are executed internally, between the bid and ask price, which gives you better prices on your buys and sells.

An order routing report does not list execution information, such as the price or time of your execution.

The following information will be displayed for each security:

- Date and time an order was routed to a market center
- Name and symbol of each security
- Share amount
- Market center that received the order”

Below is an example Order Routing Report taken from Troy Ferguson's personal Rollover IRA:



Order Routing Report

Foliofn, Inc.
8180 Greensboro Drive
8th Floor
McLean, VA 22102

Date	Time	Symbol	Security	Shares	Market Center
Mar 14 2022	02:06:26PM	T	AT&T INC COM	0.31326	NITE
Mar 14 2022	02:06:38PM	AXS	AXIS CAPITAL HOLDINGS	0.10721	NITE
Mar 14 2022	02:11:56PM	DHT	DHT HOLDINGS INC	5.73043	NITE
Mar 14 2022	02:06:21PM	EYLD	CAMBRIA EMERGING SHAREHOLDER YIELD ETF	0.20786	NITE
Mar 14 2022	02:08:19PM	INSW	INTERNATIONAL SEAWAYS INC COM	3.33030	NITE
Mar 14 2022	02:06:38PM	KHYB	KRANESHARES CCBS CHINA CORPORATE HIGH YI	0.17701	NITE
Mar 14 2022	02:07:29PM	URNM	NORTH SHORE GLOBAL URANIUM MINING INDEX	0.05105	CDRG
Mar 14 2022	02:08:15PM	FRFHF	FAIRFAX FINL HLDGS LTD	0.02465	GTSM
Mar 15 2022	03:11:10PM	PXD	PIONEER NAT RES CO	0.04465	CDRG
Mar 14 2022	02:06:38PM	AXS	AXIS CAPITAL HOLDINGS	0.20233	NITE
Mar 14 2022	02:11:55PM	TRQ	TURQUOISE HILL RES LTD	3.53776	NITE
Mar 14 2022	02:06:15PM	CMP	COMPASS MINERALS INTL	1.29577	NITE
Mar 14 2022	02:11:56PM	DHT	DHT HOLDINGS INC	8.65181	NITE
Mar 14 2022	02:06:29PM	BUD	ANHEUSER BUSCH INBEV SA/NV	0.56900	NITE
Mar 15 2022	03:10:31PM	FANG	DIAMONDBACK ENERGY INC COM	0.04804	CDRG
Mar 14 2022	02:06:32PM	FANG	DIAMONDBACK ENERGY INC COM	0.18702	CDRG
Mar 14 2022	02:06:19PM	KWEB	KRANESHARES CSI CHINA INTERNET ETF	1.31756	NITE
Mar 14 2022	02:06:21PM	EYLD	CAMBRIA EMERGING SHAREHOLDER YIELD ETF	0.59286	NITE
Mar 14 2022	02:08:19PM	INSW	INTERNATIONAL SEAWAYS INC COM	3.84889	NITE
Mar 14 2022	02:06:17PM	BTU	PEABODY ENERGY CORP NEW COM	1.89435	NITE
Mar 14 2022	02:07:05PM	PXD	PIONEER NAT RES CO	0.07203	CDRG
Mar 14 2022	02:06:26PM	SLB	SCHLUMBERGER LTD	0.95964	NITE
Mar 15 2022	03:09:43PM	SA	SEABRIDGE GOLD INC	4.22376	NITE
Mar 14 2022	02:11:55PM	TRQ	TURQUOISE HILL RES LTD	19.41095	NITE
Mar 14 2022	02:11:56PM	DHT	DHT HOLDINGS INC	19.19614	NITE
Mar 15 2022	03:14:46PM	TMQ	TRILOGY METALS INC	210.76232	NITE
Mar 15 2022	03:10:31PM	FANG	DIAMONDBACK ENERGY INC COM	0.08999	CDRG
Mar 14 2022	02:06:32PM	FANG	DIAMONDBACK ENERGY INC COM	0.85034	CDRG
Mar 15 2022	03:10:41PM	JNUG	DIREXION SHS ETF TR DLY JR GL MNR 3X	0.22049	CDRG
Mar 14 2022	02:08:19PM	INSW	INTERNATIONAL SEAWAYS INC COM	13.35254	NITE
Mar 14 2022	02:06:17PM	BTU	PEABODY ENERGY CORP NEW COM	2.00439	NITE