

Ferguson Financial Planning



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Proxy Voting Policy
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Voting Client Proxies

Ferguson Financial Planning will vote client proxies as the firm deems appropriate unless the client opts out either through the initial written contract or through later communications. Clients may opt out at anytime at which point Ferguson Financial Planning will no longer vote any proxies for that client.

General Philosophy Behind Votes

Ferguson Financial Planning will not vote all proxies. Clients own their individual securities and can vote corporate proxies as they wish. Clients with accounts at Folio Institutional receive proxy information electronically.

As small investors, it is the firm's belief that we rarely have the ability to influence corporate behavior. For example, there is seldom any monetary gain to be realized by attempting to sway the results of a merger vote. Instead of regularly voting proxies, we simply choose either to continue to hold or to sell your shares.

However, when the firm believes it is beneficial to vote a proxy, Ferguson Financial Planning will vote authorized client shares as we deem appropriate. Ferguson Financial Planning has not contracted with any outside agencies for guidance in this arena.

Material Conflicts of Interest

Because of the firm's size and business plan, we do not anticipate experiencing any material conflicts of interest. However, if a material conflict arises, we will endeavor to inform any affected clients of that potential conflict in advance and mutually agree on an acceptable manner of handling the potential conflict. We will not vote a proxy where we are aware of a material conflict of interest unless the client has approved our actions in advance, after being informed of the potential conflict.

How to Obtain Voting Information

Any client who would like to obtain information regarding how we voted a particular proxy on their behalf should contact the firm. The firm will maintain written records of proxies we place votes on within our office. We will not maintain written records within our office of proxies we do not vote.